

The Examiner asserts that Yamada discloses a communications mechanism for transferring information between different processes, but does not disclose a data storage system. It is asserted by the Examiner that the Kawamura reference discloses a data storage system for the transfer of information (col. 2, lines 19-57, col. 4, lines 50-65 and Figs. 1 and 4), and that Yamada and Kawamura are analogous art, communication channeling. Therefore, at the time of the invention it would have been obvious to one having ordinary skill in the art to incorporate the data storage system of Kawamura into the communication channel system of Yamada with the motivation/suggestion for doing so would have been to expand the storage capacity, increasing communication flow

Applicant respectfully submits that claims 1-27 are patentably distinct over the prior art of record, whether alone or in combination, and transverses the rejection.

It may be helpful to provide an overview of Applicant's invention. Applicant's invention addresses the problem of providing an easy way to permit communication and eventually the transfer of information between two different processes or applications residing on a processor or host computer where each host computer is connected to a data storage system. As explained in the specification, a data storage system is not simply memory that can reside in a processor, but is a high performance integrated cache disk array designed for on-line storage. A data storage system contains many storage devices or disks, and is dedicated to the storing of large amounts of data.

Typically, ICDAs of this type are also dedicated to not only storing large amounts of data, but providing intelligence and other features to allow the host computers physically connected to the data storage system to both store and retrieve information from the data storage system.

From-EMC LEGA



The processes or applications that reside on the host computer, utilize file transfer processes in order to transfer information to other processes, and such file transfer processes do transfer information to one another usually over a network and sometimes do not use the network, but another apparatus capable of moving the files, such as data storage systems of the type already discussed. Even where a data storage system has been used previously, such use has been difficult because of the lack of a simple means to allow user to utilize the file transfer processes. Applicant has realized the intelligence of the data storage system can be used to create an simpler way of utilizing such file transfers.

Accordingly, claim 1 of Applicant's invention, as amended, claims:

A communication mechanism for transferring information between different processes each residing on a processor <u>separately</u> coupled to a data storage system, said communication mechanism <u>being allocated from the data storage system</u> comprising:

at least one interface <u>integrated into each process</u> for enabling the transfer of information from one process to another process where the data is transferred <u>from one process</u> to the other process through the data storage system.

The communication mechanism that is integrated into each process, comes from the data storage system and includes an interface integral with each process. However, each process does not reside on the data storage system but on the processors connected to the data storage system. Applicant respectfully submits that even if the two references are combined, they do not include all of the features of Applicant's independent claims 1.

First, the apparatus of Kawamura does not disclose, teach or contemplate a data storage system, much less a data storage system that is separate and distinct from the

processes involved in the communications. Kawamura discloses an apparatus for storing a socket communication log (Col. 3, lines 33-34). Referring to Fig. 4 of the reference, the apparatus is shown. The storage is not a data storage system but log files 17 and 18 which can be stored in a single log file 30 (In Fig. 2D, the apparatus has two log files). This does not constitute a data storage system, but an apparatus for storing a socket communication log. It is stated in the prior art that the apparatus is controlled by a large amount of software (Col. 1, lines 22-23), and that the prior art includes a single system divided into a plurality of processes (Col. 1, lines 31-33). It is a stated object of Kawamura for the apparatus to store the socket communication log without degrading the performance of the apparatus (Col. 2, lines 24-26). However, when this is read all together this does not disclose a data storage system, capable of storing large amounts of data, as described in Applicant's claim 1, but a computer or host computer which includes some local memory for storage.

The Examiner also states that it would have been obvious to insert the message buffers of Yamada into the system of Kuwamura. However, even if the references were combined in the manner suggested by Examiner, each and every feature of claim 1 would not be shown as claim 1 claims:

".....for transferring information between different processes each residing on a processor <u>separately</u> coupled to a data storage system..."

If the Examiner's assertion were carried out, the transfers would occur between processes resident in one single system or computer. The message system of Yamada only contemplates inter-processor transfers, as all of the processes of Yamada are contained in a single multi-processor (17) system, in which the message buffers and



shared memory are all part of the same system. Inclusion of this type of message system into a system such as the one in Kawamura would not result in Applicant's claimed invention.

Additionally, Kawamura does not contemplate the use of the apparatus itself as a communications channel, as the processes of Kawamura includes a standard communications channel. Referring to Fig. 2 of Kawamura, a stream socket 20, is connected between the processes and in effect is the communications channel. This stream socket, as disclosed in Kawamura and shown in Fig. 2 is a point-to-point communication between the two processes. Therefore, if the messaging system of Yamada were inserted into the apparatus of Kawamura, it would result in a system which provides point-to-point communication between two processes, without a third entity (i.e. data storage) serving as the communications channel.

Lastly, the Examiner asserts that the motivation for combining the references would be to expand the storage capacity, increasing communication flow. The capacity of the data storage system is not particularly relevant to Applicant's invention, except that the data storage system used in Applicant's invention is of the ICDA type.). When the prior art itself provides no apparent reason for one of ordinary skill in the art to make a modification or combine references, the claimed subject matters would not have been obvious, and here the motivation does not exist to have combined the references in the manner suggested. The mere fact that the prior art may be modified in the manner suggested by the Examiner does not make the modification obvious unless the prior art suggested the desirability of the modification. *In re Fritch*, 972 F.2d 1260, 1266, 12 USPQ2d 1780, 1783-84 (Fed. Cir. 1992) (citing *In re Gordon*, 733 F.2d 900, 902, 221



USPQ 1125, 1127 (Fed. Cir. 1984). Nothing in the cited prior art suggests a reason or motivation for combining the references the way cited.

Independent claims 10, 16, 20, 26 and 27 are believed allowable for the reasons stated above regarding claim 1. The remaining claims all depend from the independent claims and are believed allowable for the reasons already stated.

Accordingly, favorable reconsideration and allowance of the above claims is respectfully requested.

Any fee due in connection with the filing of this Amendment may be charged to Deposit Account No. 05-0889.

Respectfully submitted,

EMC Corporation

Date: 11/10/00

Leanne J. Fitzgerald, Esq. Registration No. 40,606 Attorney for Applicant

EMC Corporation 35 Parkwood Drive Hopkinton, MA 01748-9103 Telephone: (508) 435-1000 Facsimile: (508) 497-6915